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# In situ FT-IR measurement for electrochemical oxidation of electrolyte with ethylene carbonate and diethyl carbonate on cathode active material used in rechargeable lithium batteries

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#### Abstract

 $LiCoO_2$  thin-film electrodes were prepared by rf-sputtering and sol-gel methods. The prepared thin films contained different amounts of  $Co_3O_4$  as an impurity depending on the preparation conditions. In situ FT-IR spectroscopic analyses on electrochemical oxidation of ethylene carbonate (EC) and diethyl carbonate (DEC) containing  $LiClO_4$  on the  $LiCoO_2$  thin-film electrodes were carried out. The electrochemical oxidations of EC and DEC occurred even at 3.8 V versus  $Li/Li^+$  on the fresh  $LiCoO_2$ . The solvents EC and DEC were oxidized to some compounds with carboxylic groups. In situ FT-IR spectra obtained for the  $LiCoO_2$  thin films prepared by different methods were compared each other.

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Keywords: Non-aqueous electrolyte; LiCoO2 thin-film; In situ FT-IR; Rechargeable lithium batteries

#### 1. Introduction

Rechargeable lithium battery has high energy density [1]. This high energy density is accomplished by high cell voltage owing to highly oxidative and reductive abilities of active materials used in this battery [1-3]. This is realized by utilizing wide electrochemical window of nonaqueous electrolytes, which provides stable operation of batteries [4-6]. However, non-aqueous electrolytes are likely to be oxidized on cathode active materials, due to high working potential, especially in the course of rapid charging process [7]. Therefore, the electrochemical oxidation of non-aqueous electrolytes has been investigated by many researchers using in situ and ex situ methods [8–10]. LiCoO<sub>2</sub> has been used as cathode active material in commercialized lithium batteries. We have already analyzed the electrochemical oxidation of propylene carbonate (PC) containing lithium salts on LiCoO<sub>2</sub> with in situ FT-IR spectroscopy [11,12].

In this work, the electrochemical oxidations of the mixed solvent of ethylene carbonate (EC) and diethyl carbonate (DEC) containing  $\text{LiClO}_4$  on  $\text{LiCoO}_2$  thin-film electrodes were analyzed by in situ FT-IR.  $\text{LiCoO}_2$  has a layered structure, however, it has been reported that some other phases could be formed during charge–discharge cycles or depending on preparation conditions [13,14], which would affect the surface state of  $\text{LiCoO}_2$ . In the present study,  $\text{LiCoO}_2$  thin films were fabricated by rf-sputtering [11] and sol–gel [15] methods, and their activities for the electrochemical oxidation of a nonaqueous electrolyte were compared each other.

# 2. Experimental

# 2.1. Preparation of LiCoO<sub>2</sub> thin film by sol-gel process

A precursor solution for LiCoO<sub>2</sub> was prepared from CH<sub>3</sub>COOLi, Co(CH<sub>3</sub>COO)<sub>2</sub> 4H<sub>2</sub>O, CH<sub>3</sub>COOH, 2-C<sub>3</sub>H<sub>7</sub>OH, poly-vinyl pyrolidone (PVP, molecular weight: 55,000), and H<sub>2</sub>O, and the mixed ratio was x:1:1:10:20:50

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4000

3000

2000

(x=0.8 and 1.1) [15]. The precursor was deposited on Au substrates by using spin coat method, and it was converted to gel simultaneously. The gel film was heated at 800 °C for 10 min. This process was repeated four times to increase the film thickness. Finally, the film was heat-treated at 800 °C for 1 h. The thickness of the prepared thin films were  $0.25 \,\mu m$ confirmed by scanning electron microscope observation.

### 2.2. Preparation of $LiCoO_2$ thin film by rf-sputtering

LiCoO<sub>2</sub> thin film was also prepared on a gold substrate by rf-sputtering (13.56 MHz). LiCoO<sub>2</sub> powder synthesized by normal solid-state reaction was used as a target. The sputtering gas was a mixture of O<sub>2</sub> and Ar (2:1 in volume), and the chamber pressure was maintained at  $5 \times 10^{-3}$  Torr. LiCoO<sub>2</sub> was deposited using a radio frequency power supply operating at 150 W. The Au substrate was heated at 300 °C, and the sputtering duration was 30 min. The as-deposited film was amorphous state, and then the thin film was followed by heat treatment at 700 °C in air for 5 h. The thickness of the prepared thin films were  $0.25 \,\mu m$  confirmed by scanning electron microscope observation.

## 2.3. Characterization of $LiCoO_2$ thin films

The LiCoO<sub>2</sub> thin films were characterized by X-ray diffraction (XRD, RINT-UltimaII, Rigaku Co.) measurements with Cu Ka radiation, and micro-Raman spectroscopy (NRS-1000, Jasco) with 532 nm laser radiation. The electrochemical properties of LiCoO2 thin-film electrodes were characterized by cyclic voltammetry with three-electrode system in propylene carbonate containing  $1.0 \,\mathrm{mol}\,\mathrm{dm}^{-3}$ LiClO<sub>4</sub>, and lithium metal was used as reference and counter electrodes.

#### 2.4. In situ FT-IR measurements

The spectro-electrochemical cell for in situ FT-IR measurements was similar to that reported elsewhere [12]. The external reflectance method was employed to collect the FT-IR data using a standard FT-IR spectrometer (FT-IR-230, Jasco). CaF<sub>2</sub> was used as the IR window. Nickel wire was used as the counter electrode, and lithium metal was used as the reference electrode. The electrolyte was  $1.0 \text{ mol dm}^{-3}$ LiClO<sub>4</sub>/EC-DEC (1:1 in volume). The electrode potential was stepped with a potential width of 0.3 V from 3.5 to 5.0 V versus Li/Li<sup>+</sup>. At each potential, the external reflectance spectrum was measured. In situ FT-IR measurement was performed with p-polarized IR beam in order to investigate the interfacial reaction between electrolyte and electrode. From two reflectance spectra obtained before and after a potential step, a subtractively normalized interfacial FT-IR (SNIFT-IR) was calculated according to the following equation [12]:

$$\frac{\Delta R}{R} = \frac{R_1 - R_0}{R_0} \tag{1}$$



Fig. 1. X-ray diffraction patterns of LiCoO<sub>2</sub> samples: (a) solid-state synthesized powder, (b) thin film deposited by rf-sputtering followed by heat treatment, (c) thin film prepared by sol-gel process with x=0.8, and (d) x = 1.1.

here  $R_0$  is the reflectance spectrum before the potential step and  $R_1$  is the reflectance spectrum after the potential step.

#### 3. Results and discussion

Fig. 1 shows XRD patterns of LiCoO<sub>2</sub> samples. In the case of LiCoO<sub>2</sub> powder synthesized by normal solid-state reaction, no impurity phase was detected. The thin films prepared by sputtering and sol-gel process also exhibited the XRD pattern of LiCoO<sub>2</sub> besides the peaks of Au substrate. However, the sol-gel sample with Li molar ratio of 0.8 contained Co<sub>3</sub>O<sub>4</sub> as an impurity. In the case of LiCoO<sub>2</sub> thin film prepared by sputtering followed by heat-treatment at 700 °C, the peak intensity of (101) was higher than that of (003) and (104). This indicates that the film had a preferred orientation (101) planes parallel to the Au substrate [16]. On the other hand, the LiCoO<sub>2</sub> thin films prepared by sol-gel process had a preferred orientation (003) parallel to the substrate.

(a)

LiCoO<sub>2</sub>

Co<sub>3</sub>O<sub>4</sub>

Au



Fig. 2. Raman spectra of LiCoO<sub>2</sub> samples: (a) solid-state synthesized powder (the two spectra obtained from different spots of the sample), (b) thin film deposited by rf-sputtering followed by heat treatment, (c) thin film prepared by sol–gel process with x = 0.8, and (d) x = 1.1.

Fig. 2 shows the Raman spectra of LiCoO<sub>2</sub> prepared by solid-state synthesis, rf-sputtering, and sol–gel methods. The peaks at 482 and 592 cm<sup>-1</sup> were observed in the all spectra, those could be assigned as  $E_g$  and  $A_{1g}$  vibration modes in hexagonal LiCoO<sub>2</sub> (space group:  $R\bar{3}m$ ), respectively [17,18]. The peaks at 518 and 686 cm<sup>-1</sup> observed for the film pre-

pared by the sol-gel process with x = 0.8 (Fig. 2(c)) can be attributed to Co<sub>3</sub>O<sub>4</sub> [19], which agrees to the XRD result shown in Fig. 1(c). These two peaks can be observed in the spectrum of x = 1.1 (Fig. 2(d)) and even for the LiCoO<sub>2</sub> powder (Fig. 2(a)). This result suggested that a small amount of impurity, Co<sub>3</sub>O<sub>4</sub>, which were not detected by XRD, were included in the prepared sample. From the peak intensities of impurity phase, it can be seen that the amount of impurity depends on the preparation condition of LiCoO<sub>2</sub>.

The cyclic voltammograms (CV) of LiCoO<sub>2</sub> thin-film electrodes prepared by sputtering and sol–gel process are shown in Fig. 3. As well known, the three reversible peaks at 3.9, 4.1 and 4.2 V were observed in the all CVs [2,3,15]. However, the symmetry of the voltammograms during anodic and cathodic scans depended on the preparation condition of the films. Apparently, the amount of the impurity phase affects the electrochemical properties of the films.

Fig. 4(a) shows SNIFT-IR spectra obtained for the LiCoO2 thin-film electrode prepared by sputtering. The in situ FT-IR measurements were performed for the fresh thin-film electrode. A downward peak corresponds to the formation of new chemical compounds and an upward one shows consumption of chemical compounds originally existing in the measurement system. The signals of SNIFT-IR appeared even at 3.8 V, and the intensities of signals increased as the electrode potential increased. The upward peaks were observed at 1810, 1750, 1260 and 1160  $\text{cm}^{-1}$ . These peaks suggested the decomposition of EC and DEC on the LiCoO2 surface. The FT-IR measurements of pure EC and EC + DEC were carried out using a capillary thin film method, and the assignments of the peaks are shown in Table 1, which are similar to that reported in the literature [20]. The peaks at 1810 and  $1750 \text{ cm}^{-1}$  were assigned to C=O stretching vibrations from EC and DEC, respectively. The downward peaks were observed at 1780,  $1725, 1400, 1290, and 1185 \text{ cm}^{-1}$ . It was considered that the peak at  $1810 \text{ cm}^{-1}$  shifted to  $1780 \text{ cm}^{-1}$ , which could be ex-

Table 1 Assignment of each peak in FT-IR spectra

Upward peaks	$1810{\rm cm}^{-1}$	C=O stretching
		vibration from EC
	$1750{\rm cm}^{-1}$	C=O stretching
		vibration from DEC
	$1260  {\rm cm}^{-1}$	C–O–C asymmetric
		stretching vibration
		from DEC
	$1160  {\rm cm}^{-1}$	C—O—C symmetric
		stretching vibration
		from EC
Downward peaks	$1780{ m cm}^{-1}$	C=O stretching
		vibration
	$1725  {\rm cm}^{-1}$	C=O stretching
		vibration
	$1400{\rm cm}^{-1}$	CH <sub>2</sub> bending vibration
	$1290  {\rm cm}^{-1}$	C—O—C asymmetric
		stretching vibration
	$1185  {\rm cm}^{-1}$	C—O—C symmetric
		stretching vibration



Fig. 3. Cyclic voltammograms of LiCoO<sub>2</sub> thin film electrodes: (a) sputtered film, (b) film prepared by sol–gel process with x = 0.8, and (c) x = 1.1. The scan rate was 10 mV min<sup>-1</sup> and the electrolyte was propylene carbonate containing 1.0 mol dm<sup>-3</sup> LiClO<sub>4</sub>.



Fig. 4. SNIFT-IR spectra for electrochemical oxidation of  $1.0 \text{ mol } \text{dm}^{-3} \text{ LiClO}_4/\text{EC} + \text{DEC}$  on the LiCoO<sub>2</sub> thin-film electrodes prepared by sputtering (a) and sol-gel process (molar ratios Li = 0.8 (b) and Li = 1.1 (c)).

plained as follows; a ring opening reaction of EC took place, and the product with a linear chain structure was accumulated on the electrode surface. Similarly, the peak at  $1750 \text{ cm}^{-1}$ shifted to  $1725 \text{ cm}^{-1}$ . Probably, the chains in DEC broke into a shorter linear chain via a radical species. Actually, a similar decomposition process was reported for the case of propylene carbonate-based electrolyte [11,12]. Fig. 4(b) and (c) show the SNIFT-IR spectra obtained for LiCoO<sub>2</sub> thinfilm electrodes prepared by sol–gel process. In the case of the film of x = 0.8, obtained spectra were apparently different from those of sputtered film, while the spectra of x = 1.1 showed similar tendency to Fig. 4(a). The downward peaks can be scarcely observed in the spectra of Fig. 4(b), although the upward peaks can be observed. This means that the accumulation of the decomposition products does not occur on the film prepared with x = 0.8, in spite of the oxidation of the electrolyte. The weak downward peaks would be attributed to weak interaction between carboxylic group (one of decomposition products) and electrode surface. The presence of Co<sub>3</sub>O<sub>4</sub> phase may lower the interaction. Therefore, it can be said that the surface state of the cathode material has a significant influence on the oxidation process of the electrolytes.

### 4. Conclusions

LiCoO<sub>2</sub> thin-film electrodes were prepared by rfsputtering and sol–gel methods. The prepared thin films contained different amounts of Co<sub>3</sub>O<sub>4</sub> as an impurity depending on the preparation conditions. In situ FT-IR spectroscopic analyses of the electrochemical oxidation of EC and DEC containing LiClO<sub>4</sub> on the LiCoO<sub>2</sub> thin film electrodes were carried out. The measured SNIFT-IR spectra suggested that several possible reaction processes took place on the LiCoO<sub>2</sub> thin-film electrodes. The electrochemical oxidations of EC and DEC occurred even at 3.8 V versus Li/Li<sup>+</sup> on the fresh LiCoO<sub>2</sub>. The solvents EC and DEC were oxidized to some compounds with carboxylic groups. The surface state of LiCoO<sub>2</sub> has a significant influence on the stability of decomposition products on the electrode surface.

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